

JPW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In application of: GREGORY, ET AL.

Serial No.: 10/825,658

Filing Date: April 14, 2004

Title: METHOD FOR MAKING A TERMINATION FOR A WIRE  
ROPE FOR MINING EQUIPMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Sir:

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

The undersigned hereby certifies that the following documents:

1. Supplemental Information Disclosure Statement;
2. Form PTO/SB/08A;
3. Transmittal letter (in duplicate); and
4. Postcard receipt.

relating to the above application, were deposited as "First Class Mail", with sufficient postage thereon, with the United States Postal Service, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on **December 1, 2005**.

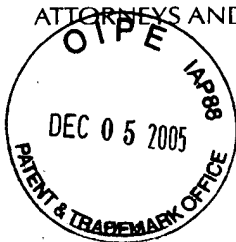
  
\_\_\_\_\_  
Mailer





# Schultz & Associates, P.C.

ATTORNEYS AND COUNSELORS



December 1, 2005

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: U. S. Patent Application Serial No. 10/825,658  
"METHOD FOR MAKING A TERMINATION FOR A WIRE ROPE  
FOR MINING EQUIPMENT"  
Atty. Docket: 10152.0102

Sir:

Enclosed for filing are the following documents:

1. Supplemental Information Disclosure Statement;
2. Form PTO/SB/08A;
3. Transmittal letter (in duplicate); and
4. Postcard receipt.

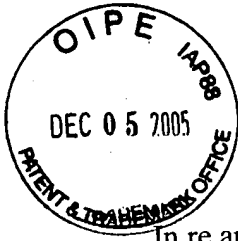
The Commissioner is hereby authorized to charge any underpayment of fees, or credit any overpayment, to Deposit Account No. 50-2225.

Very truly yours,

George "Russ" Schultz

GRS:slc  
Enclosure



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: GREGORY, ET AL.  
Serial No.: 10/825,658  
Filing Date: April 14, 2004  
Title: METHOD FOR MAKING A TERMINATION FOR A  
WIRE ROPE FOR MINING EQUIPMENT

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on attached Form PTO/SB/08A.

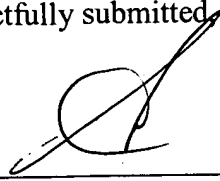
**U.S. Patents**

<u>Patent No.</u>	<u>Inventor</u>	<u>Date</u>
4,317,640 A	Peeling	5/2/1982
4,881,677 A	Amos, et al.	11/21/1989
5,211,500 A	Takaki, et al.	5/18/1993

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above patents and to question the relevance and materiality of the patents and publications listed herein, in whole, in part, or in combination, subsequent to filing this information disclosure statement.



Respectfully submitted,

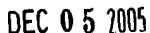


Date: December 1, 2005

---

George R. Schultz  
Reg. No. 35,674  
Schultz & Associates, P.C.  
5400 LBJ Freeway  
Suite 1200  
Dallas, Texas 75240  
(214) 210-5940  
(214) 210-5941 – Facsimile  
E-mail: russ.schultz@grspc.com





Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

*(Use as many sheets as necessary)*

**Complete if Known**

Application Number	10/825,658
Filing Date	4/14/2004
First Named Inventor	George R. Gregory
Art Unit	
Examiner Name	
Attorney Docket Number	10152.0102

Sheet	1	of	1
-------	---	----	---

## U. S. PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**